


Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known				
Sheet		2		of		12		
Application Number		10/754,174						
Filing Date		January 9, 2004						
First Named Inventor		Lilip Lau						
Art Unit		3762						
Examiner Name		Unassigned						
Attorney Docket Number		PARCR 66327						
U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number	Kind Code ² (if known)					
NV		4,840,626		06/20/89	Linsky et al.			
		4,863,016		09/05/89	Fong et al.			
		4,878,890		11/07/89	Bilweis			
		4,957,477		09/18/90	Lundbäck			
		4,960,424		10/02/90	Grooters			
		4,973,300		11/27/90	Wright			
		5,031,762		07/16/91	Heacox			
		5,057,117		10/15/91	Atweh			
		5,067,957		11/26/91	Jervis			
		5,087,243		02/11/92	Avitall			
		5,098,369		03/24/92	Heilman et al.			
		5,106,386		04/21/92	Isner et al.			
		5,119,804		06/09/92	Anstadt			
		5,150,706		09/29/92	Cox et al.			
		5,169,381		12/08/92	Snyders			
		5,186,711		02/16/93	Epstein			
		5,190,546		03/02/93	Jervis			
		5,192,314		03/09/93	Daskalakis			
		5,197,978		03/30/93	Hess			
		5,256,132		10/26/93	Snyders			
	5,279,539		01/18/94	Bohan et al.				
	5,336,254		08/09/94	Brennen et al.				
FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind ⁵ (if known)				
NV		PCT	WO 91/19465		12/26/91	Frater		
		SU	1734767 A1		05/23/92	Popov et al.		
		EP	0 280 564 B1		06/16/93	Intermedics, Inc.		
		DE	38 31 540 C2		06/24/93	Evans		
		PCT	WO 95/06447		03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852		02/22/96	Northrup		
NV		DE	295 17 393 U1		03/14/96	Hohmann et al.		
		EP	0 583 012 B1		07/10/96	Heilman et al.		
Examiner Signature						Date Considered	02/22/05	

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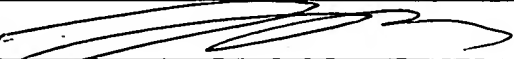
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		Examiner Name	Unassigned		
Sheet	3	of	12	Attorney Docket Number	PARCR 66327

U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
NV		5,344,442		09/06/94	Deac	
		5,352,184		10/04/94	Goldberg et al.	
		5,356,432		10/18/94	Rutkow et al.	
		5,366,460		11/22/94	Eberbach	
		5,385,156		01/31/95	Oliva	
		5,385,229		01/31/95	Bittmann et al.	
		5,385,528		01/31/95	Wilk	
		5,405,360		04/11/95	Tovey	
		5,429,584		07/04/95	Chiu	
		5,460,962		10/24/95	Kemp	
		5,433,727		07/18/05	Sideris	
		5,456,711		07/18/95	Hudson	
		5,500,015		03/19/96	Deac	
		5,507,779		04/16/96	Altman	
		5,509,428		04/23/96	Dunlop	
		5,524,633		06/11/96	Heaven et al.	
		5,533,958		07/09/96	Wilk	
		5,534,024		07/09/96	Rogers et al.	
		5,545,210		08/13/96	Hess et al.	
		5,727,569		03/17/98	Benetti et al.	
		5,749,839		05/12/98	Kovacs	
		5,782,746		07/21/98	Wright	
NV		5,800,334		09/01/98	Wilk	

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NV		PCT	WO 97/20505		06/12/97	Loma Linda University		
		PCT	WO 97/24101		07/10/97	Melvin		
		EP	0 791 330 A3		08/27/97	Cardiothoracic Systems		
		PCT	WO 98/03213		01/29/98	Heartport, Inc.		
		PCT	WO 98/14136		04/09/98	Acom Medical, Inc.		
		PCT	WO 98/26738		06/25/98	Hearten Medical, Inc.		
		PCT	WO 98/29041		07/09/98	Myocor, Inc.		
		PCT	WO 98/58598		12/30/98	Haindl		
NV		PCT	WO 99/11201		03/11/99	Endocore, Inc.		

Examiner Signature		Date Considered	02/22/05
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Substitute for form 1449A/PTO <h2 style="text-align: center; margin: 10px 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 5px 0;">(use as many sheets as necessary)</p>		<h3 style="text-align: center; margin: 0;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/754,174</td> </tr> <tr> <td>Filing Date</td> <td>January 9, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Lilip Lau</td> </tr> <tr> <td>Art Unit</td> <td>3762</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>PARCR 66327</td> </tr> </table>		Application Number	10/754,174	Filing Date	January 9, 2004	First Named Inventor	Lilip Lau	Art Unit	3762	Examiner Name	Unassigned	Attorney Docket Number	PARCR 66327
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Sheet	4	of	12												

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		Number	Kind Code ² (if known)			
NV		5,571,215		11/05/96	Sierman et al.	Z
		5,582,616		12/10/96	Bolduc et al.	
		5,584,803		12/17/96	Stevens et al.	
		5,593,424		01/14/97	Northrup III	
		5,593,441		01/14/97	Lichtenstein et al.	
		5,597,378		01/28/97	Jervis	
		5,607,477		03/04/97	Schindler et al.	
		5,647,372		07/15/97	Tovey et al.	
		5,647,380		07/15/97	Campbell et al.	
		5,695,525		12/09/97	Mulhauser et al.	
		5,814,097		09/29/98	Sierman et al.	
		5,824,028		10/20/98	Knisley	
		5,836,311		11/17/98	Borst et al.	
		5,848,962		12/15/98	Feindt et al.	
		5,849,005		12/15/98	Garrison et al.	
		5,853,422		12/29/98	Huebsch et al.	
		5,865,791		02/02/99	Wayne et al.	
		5,904,690		05/18/99	Middleman et al.	
		5,910,124		06/08/99	Rubin	
		5,927,284		07/27/99	Borst et al.	
	5,948,019		09/07/99	Shu et al.		
	5,976,069		11/02/99	Navia et al.		
	5,979,456		11/09/99	Magovern		
	5,984,857		11/16/99	Buck et al.		
NV		5,990,378		11/23/99	Ellis	

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		Office ³	Number ⁴	Kind ⁵ (if known)				
NV		EP	0 919 193 A I		06/02/99	Ethicon Endo-Surgery	Z	
		PCT	WO 99/30647		06/24/99	Myocor, Inc.		
		PCT	WO 99/44534		09/10/99	Melvin		
		PCT	WO 99/44680		09/10/99	Abiomed, Inc.		
		PCT	WO 99/53977		10/28/99	SciMed Life Systems		
		PCT	WO 99/56655		11/11/99	Correstore, Inc.		
		PCT	WO 00/02500		01/20/00	Acorn Cardiovascular		
NV		PCT	WO 00/06026		02/10/00	Myocor, Inc.		
		PCT	WO 00/06027		02/10/00	Mortier et al.		

Examiner Signature	Date Considered 02/22/05
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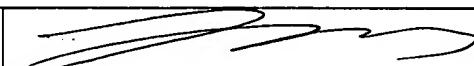
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				Application Number	10/754,174
Sheet		5	of	12	Filing Date January 9, 2004
					First Named Inventor Lilip Lau
					Art Unit 3762
					Examiner Name Unassigned
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U.S. PATENT DOCUMENTS						
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NV		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
		6,050,936		04/18/00	Schweich, Jr. et al.	
		6,059,715		05/09/00	Schweich, Jr. et al.	
		6,071,303		06/06/00	Laufer	
		6,079,414		06/27/00	Roth	
		6,085,754		07/11/00	Alferness et al.	
		6,095,968		08/01/00	Snyders	
		6,117,159		09/12/00	Huebsch et al.	
		6,117,979		09/12/00	Hendriks et al.	
		6,125,852		10/03/00	Stevens et al.	
		6,126,590		10/03/00	Alferness	
		6,155,968		12/05/00	Wilk	
		6,162,168		12/19/00	Schweich, Jr. et al.	
		6,165,119		12/26/00	Schweich, Jr. et al.	
		6,165,120		12/26/00	Schweich, Jr. et al.	
		6,165,121		12/26/00	Alferness	
		6,165,122		12/26/00	Alferness	
		6,166,184		12/26/00	Hendriks et al.	
		6,169,922		01/02/01	Alferness et al.	
		6,174,279		01/16/01	Girard	
NV		6,179,791		01/30/01	Krueger	

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NV		PCT	WO 00/06028		02/10/00	Mortier, et al.		
		PCT	WO 00/13722		03/16/00	SciMed Life Systems		
		PCT	WO 00/16700		03/30/00	Myocor, Inc.		
		PCT	WO 00/28912		05/25/00	Acom Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acom Cardiovascular		
		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735		08/10/00	Acom Cardiovascular		
NV		PCT	WO 00/48795		08/24/00	Richebourg		

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		6,193,648		02/27/01	Krueger	
		6,206,820		03/27/01	Kazi et al.	
		6,214,047		02/10/01	Melvin	
		6,221,103		02/24/01	Melvin	
		6,224,540		05/01/01	Ledermann et al.	
		6,230,714		05/15/01	Alfemess et al.	
		6,260,552		07/17/01	Mortier et al.	
		6,261,222		07/17/01	Schweich, Jr. et al.	
		6,282,445		08/28/01	Reinhardt et al.	
		6,287,250		09/11/01	Peng et al.	
		6,306,141		10/23/01	Jervis	
		6,375,608		04/23/02	Alfemess	
		6,390,976		05/21/02	Spence et al.	
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		6,406,420		06/18/02	McCarthy et al.	
		6,409,760		06/25/02	Melvin	
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		6,451,025		09/17/02	Jervis	
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NV		PCT	WO 00/62727		10/26/00	Hearten Medical		
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/50981		07/19/01	Acorn Cardiovascular		
		PCT	WO 01/95830		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95831		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		
NV		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		

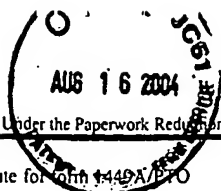
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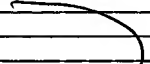
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	January 9, 2004
		First Named Inventor	Lilip Lau
		Art Unit	3762
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 66327
Sheet	1	of	12

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
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		3,613,672		10/19/71	Schiff	
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NV		FR	2 527 435		12/2/83	Serreus		
		GB	2 115 287 A		09/07/83	Sharma		
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NV		FR	2 645 739		10/19/90	Mentzer et al.		

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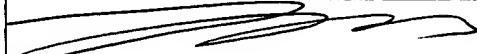
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NV		6,547,821		11/11/03	Taylor et al.	
		6,564,094		05/13/03	Alfermess et al.	
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NV		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
NV		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		


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		First Named Inventor	Lilip Lau
		Group Art Unit	3762
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		Attorney Docket Number	PARCR 66327
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Substitute for form 1449B/PTO				<div>Complete if Known</div> <div>Application Number 10/754,174</div> <div>Filing Date September 5, 2002</div> <div>First Named Inventor Lilip Lau</div> <div>Group Art Unit 3762</div> <div>Examiner Name Unassigned</div> <div>Attorney Docket Number PARCR 66327</div>	
<div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>					
Sheet	12	of	12		

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.005A	APPLICATION NO. 10/242,016
INFORMATION DISCLOSURE STATEMENT BY APPLICANT AUG 16 2004 (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al	
		FILING DATE September 10, 2002	GROUP 3736

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
NV	1	2,826,193	03/11/58	Vineberg			
	2	3,513,836	05/26/70	Sausse			
	3	3,587,567	06/28/71	Schiff			
	4	3,983,863	10/05/76	Janke, et al.			
	5	4,306,318	12/22/81	Mano, et al.			
	6	4,403,604	09/13/83	Wilkinson, et al.			
	7	4,428,375	01/31/84	Ellman			
	8	4,536,893	08/27/85	Parravicini			
	9	4,690,134	09/01/87	Snyders			
	10	4,827,932	05/09/89	Ideker, et al.			
	11	4,936,857	06/26/90	Kulik			
	12	4,976,730	12/11/90	Kwan-Gett			
	13	5,131,905	07/21/92	Grooters			
	14	5,383,840	01/24/95	Heilman, et al.			
	15	5,558,617	09/24/96	Heilman, et al.			
	16	5,603,337	02/18/97	Jarvik			
	17	5,702,343	12/30/97	Alfemess			
	18	5,713,954	02/03/98	Rosenberg, et al.			
	19	5,800,528	09/01/98	Lederman, et al.			
	20	5,848,962	12/15/98	Feindt, et al.			
	21	5,957,977	09/28/99	Melvin			
	22	5,961,440	10/05/99	Schweich, Jr. et al.			
	23	6,076,013	06/13/00	Brennan, et al.			
	24	6,077,214	06/20/00	Mortier, et al.			
	25	6,077,218	06/20/00	Alfemess			
	26	6,110,100	08/29/00	Talpade			
NV	27	6,123,662	09/26/00	Alfemess, et al.			

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	APPLICANT Lilip Lau, et al	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
NV	28	6,155,972	12/05/00	Nauertz, et al.			
	29	US 6,174,279 B1	01/16/01	Girard			
	30	US 6,264,602 B1	07/24/01	Mortier, et al.			
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	32	US 6,360,749 B1	03/26/02	Jayaraman			
	33	US 6,402,680 B2	06/11/02	Mortier, et al.			
	34	US 6,425,856 B1	07/30/02	Shapland, et al.			
	35	US 6,482,146 B1	11/19/02	Alferness, et al.			
NV	36	US 2002/0032364	3/14/02	Lau, et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
NV	37	WO 01/91667 A2	06/12/01	PCT				
NV	38	SU 1009457 A	04/07/83					

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
NV	39	Chiu, Ray C.-J., <i>Using Skeletal Muscle for Cardiac Assistance</i> , <u>Scientific American</u> SCIENCE & MEDICINE , November/December 1994.
	40	David A. Kass, M.D., et al., <i>Reverse Remodeling From Cardiomyoplasty in Human Heart Failure</i> , <u>Circulation</u> , Volume 91, No. 9, May 1, 1995.
	41	Howard R. Levin, M.D., et al., <i>Reversal of Chronic Ventricular Dilation in Patients With End-Stage Cardiomyopathy by Prolonged Mechanical Unloading</i> , <u>Circulation</u> , Volume 91, No. 11, June 1995.
NV	42	Eli R. Capouya, M.D., et al, <i>GIRDLING EFFECT ON NONSTIMULATED CARDIOMYOPLASTY ON LEFT VENTRICULAR FUNCTION</i> , Society of Thoracic Surgeons, Pages 867-871, 1993.

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APPLICANT
Lilip Lau, et al.FILING DATE
September 10, 2002GROUP
3736

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
NV	1	6,432,039	08/13/02	Wardle			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
NV	2	WO 00/36995	06/29/00	PCT				
I	3	WO 00/18320	04/06/00	PCT				
I	4	WO 02/13726	02/21/02	PCT				
NV	5	WO 01/21098	03/29/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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